

Vol 3 Issue 5 Nov 2013

Impact Factor : 1.9508 (UIF)

ISSN No :2231-5063

Monthly Multidisciplinary  
Research Journal

*Golden Research  
Thoughts*

Chief Editor  
Dr.Tukaram Narayan Shinde

Publisher  
Mrs.Laxmi Ashok Yakkaldevi

Associate Editor  
Dr.Rajani Dalvi

Honorary  
Mr.Ashok Yakkaldevi

**IMPACT FACTOR : 1.9508 (UIF)**

**Welcome to ISRJ**

**RNI MAHMUL/2011/38595**

**ISSN No.2230-7850**

Indian Streams Research Journal is a multidisciplinary research journal, published monthly in English, Hindi & Marathi Language. All research papers submitted to the journal will be double - blind peer reviewed referred by members of the editorial Board readers will include investigator in universities, research institutes government and industry with research interest in the general subjects.

### ***International Advisory Board***

Flávio de São Pedro Filho Federal University of Rondonia, Brazil	Mohammad Hailat Dept. of Mathematical Sciences, University of South Carolina Aiken, Aiken SC 29801	Hasan Baktir English Language and Literature Department, Kayseri
Kamani Perera Regional Centre For Strategic Studies, Sri Lanka	Abdullah Sabbagh Engineering Studies, Sydney	Ghayoor Abbas Chotana Department of Chemistry, Lahore University of Management Sciences [ PK ]
Janaki Sinnasamy Librarian, University of Malaya [ Malaysia ]	Catalina Neculai University of Coventry, UK	Anna Maria Constantinovici AL. I. Cuza University, Romania
Romona Mihaila Spiru Haret University, Romania	Ecaterina Patrascu Spiru Haret University, Bucharest	Horia Patrascu Spiru Haret University, Bucharest, Romania
Delia Serbescu Spiru Haret University, Bucharest, Romania	Loredana Bosca Spiru Haret University, Romania	Ilie Pinteau, Spiru Haret University, Romania
Anurag Misra DBS College, Kanpur	Fabricio Moraes de Almeida Federal University of Rondonia, Brazil	Xiaohua Yang PhD, USA
Titus Pop	George - Calin SERITAN Postdoctoral Researcher	Nawab Ali Khan College of Business Administration

### ***Editorial Board***

Pratap Vyamktrao Naikwade ASP College Devrukh,Ratnagiri,MS India	Iresh Swami Ex - VC. Solapur University, Solapur	Rajendra Shendge Director, B.C.U.D. Solapur University, Solapur
R. R. Patil Head Geology Department Solapur University, Solapur	N.S. Dhaygude Ex. Prin. Dayanand College, Solapur	R. R. Yaliker Director Managment Institute, Solapur
Rama Bhosale Prin. and Jt. Director Higher Education, Panvel	Narendra Kadu Jt. Director Higher Education, Pune	Umesh Rajderkar Head Humanities & Social Science YCMOU, Nashik
Salve R. N. Department of Sociology, Shivaji University, Kolhapur	K. M. Bhandarkar Praful Patel College of Education, Gondia	S. R. Pandya Head Education Dept. Mumbai University, Mumbai
Govind P. Shinde Bharati Vidyapeeth School of Distance Education Center, Navi Mumbai	Sonal Singh Vikram University, Ujjain	Alka Darshan Shrivastava Shaskiya Snatkottar Mahavidyalaya, Dhar
Chakane Sanjay Dnyaneshwar Arts, Science & Commerce College, Indapur, Pune	G. P. Patankar S. D. M. Degree College, Honavar, Karnataka	Rahul Shriram Sudke Devi Ahilya Vishwavidyalaya, Indore
Awadhesh Kumar Shirotriya Secretary, Play India Play (Trust),Meerut	Maj. S. Bakhtiar Choudhary Director,Hyderabad AP India.	S.KANNAN Ph.D , Annamalai University,TN
	S.Parvathi Devi Ph.D.-University of Allahabad	Satish Kumar Kalhotra
	Sonal Singh	

**Address:-Ashok Yakkaldevi 258/34, Raviwar Peth, Solapur - 413 005 Maharashtra, India  
Cell : 9595 359 435, Ph No: 02172372010 Email: ayisrj@yahoo.in Website: www.isrj.net**



## DETERMINING DEVELOPMENT OF URBAN SLUMS WITH GROWTH INDEX USING SAS



**Omkar Kulkarni**

Symbiosis Centre of Management: Human Resource Development,  
Symbiosis international University, Pune.

**Abstract:**Improvement in standard of living has remained the basic objective of any democratic nation. The most commonly and extensively used measure of economic development is the concept of gross domestic product or national income. This concept in per capita terms is crudely used to reflect the standard of living. But the standard of living or quality of life is more related to per capita consumption rather than to per capita income or production. This per capita consumption, if extrapolated to the slum level, we can derive a systematic indexing technique which will help us to understand the development levels of urban slums. In this paper we attempt to develop a composite index of development of slums which will mitigate the tasks of government and other public bodies to understand their development and hence take the appropriate measures.

**Key words:**Urban Slums , domestic product , human settlement ,demographically.

### INTRODUCTION:

A slum may be defined as a deprived human settlement, which is demographically, economically and environmentally vulnerable. Slums are looked down upon and denigrated. Extreme overcrowding, high density and high levels of mortality and fertility are typical demographic features of slums. A large, unorganized sector, low levels of productivity and extreme poverty are the usual economic features; and the lack of access to basic needs like water, sanitation and clean environment, make these areas environmentally hazardous [1]. A Slum, for the purpose of Census, has been defined as residential areas where dwellings are unfit for human habitation by reasons of dilapidation, overcrowding, faulty arrangements and design of such buildings, narrowness or faulty arrangement of street, lack of ventilation, light, or sanitation facilities or any combination of these factors which are detrimental to the safety and health [2].

The last two decades has witnessed a large scale migration of the rural poor to metropolitan slums. Slums, historically have been accepted as a part of the urban environment characterizing under-development in the developing countries[3]. Improvement in standard of living has remained the basic objecting of India's planning. The most commonly and extensively use measure of economic development is gross domestic product or national income. This concept in per capita terms is crudely used to reflect the standard of living. But the standard of living or quality of life is more related to per capita income consumption rather than to per capita income or production.

### LITERATURE SURVEY: CITIES AND SLUMS:

While cities are generators of the nation's wealth and income, there are large sections of the poor in cities, especially slum dwellers, who are bypassed by the process of growth [4]. An urban-urban divide is emerging as revealed by the trends in Gini co-efficient of urban income distribution encompassing various monthly expenditure classes. A critical issue of public policy is thus how to make cities inclusive in accordance with the 11th Plan Strategy of inclusive growth and provide basic services and access to affordable shelter and employment to the urban poor, including the dwellers of the slum which manifest the worst form of poverty [5]. The backlog and current needs of the poor including slum dwellers will have to be addressed along with those from future urban growth so as to prevent the conditions that led to mushrooming of slums and haphazardly grown cities and towns in the past. A strategy of guided urbanization will recognize that the urban poor, including slum-dwellers, numbering millions at the bottom of the pyramid, have a key role in the development of the cities. Their number is so large that even a modicum increase in their productivity through intervention by the governments will mean that the contribution to GDP will be huge. The urban poverty issue has been elevated to the National concern with a high priority value [6].

### MATERIALS AND METHODS: SOURCE OF DATA & SAMPLING:

The data analyzed here are drawn from a study on daily livelihood and social amenities in the Pimpri-Chinchwad Municipal Corporation (PCMC) area [7]. The source population was defined geographically by the



terms of household [12][13]. The ramification of the slums done on the basis of households will help us to extrapolate the

Stratum I	Small Slums	Slums with population less than 500.
Stratum II	Moderately Small Slums	Slums with population between 500-1000.
Stratum III	Medium size slums	Slums with population between 1000-2000.
Stratum IV	Large size slums	Slums with population more than 2000.

For each stratum five slums were selected by simple random sampling technique.

For each selected slums data have been collected on the following variables:

- 1.Toilet Seats
- 2.Roads
- 3.Gutters(mtr)
- 4.Social Temple
- 5.Water Tap
- 6.Balwadi/Anganwadi (Primary Education)
- 7.Electricity Poles

**ANALYSIS ACCORDING TO SIZE OF THE VILLAGE AT STATE LEVEL**

Tables 1 to 4 present the information on mean and standard deviation for various indicators at the ward level according to the four strata.

Table 1 (a):		Ward 1							
Variable/Strata	1		2		3		4		
	Mean	S.D.	Mean	S.D.	Mean	S.D.	Mean	S.D.	
Toilet Seats	13.90	16.27	13.3	13.53	17.5	11.46	11.03	9.30	
Roads	32.67	51.30	33.85	50.17	27.36	51.63	17.26	28.58	
Gutters	5.48	11.18	5.39	14.02	3.43	6.44	3.48	11.87	
Social TeMples	4.40	12.46	4.45	13.08	2.82	9.39	3.78	15.77	
Water Taps	15.26	20.00	13.45	13.82	12.12	10.60	11.88	9.85	
Primary Education	.30	.46	.35	.48	.36	.48	.52	.50	
Electricity Polls	.15	.35	.17	.37	.18	.38	.31	.46	

Table 1 (b):		Ward 2							
Variable/Strata	1		2		3		4		
	Mean	S.D.	Mean	S.D.	Mean	S.D.	Mean	S.D.	
Toilet Seats	14.70	14.52	18.02	17.34	21.87	25.12	20.97	22.44	
Roads	39.10	35.21	33.79	31.98	35.10	35.15	35.07	32.52	
Gutters	6.62	7.49	6.73	6.73	7.26	7.03	10.34	4.65	
Social TeMples	6.82	7.46	5.62	5.62	7.51	4.64	6.22	2.89	
Water Taps	15.26	20.00	13.45	13.82	12.12	10.60	11.88	9.85	
Primary Education	.30	.46	.35	.48	.36	.48	.52	.50	
Electricity Polls	.15	.35	.17	.37	.18	.38	.31	.46	

Table 1 (c):		Ward 3							
Variable/Strata	1		2		3		4		
	Mean	S.D.	Mean	S.D.	Mean	S.D.	Mean	S.D.	
Toilet Seats	13.90	16.27	13.3	13.53	17.5	11.46	11.03	9.30	
Roads	32.67	51.30	33.85	50.17	27.36	51.63	17.26	28.58	
Gutters	5.48	11.18	5.39	14.02	3.43	6.44	3.48	11.87	
Social TeMples	4.40	12.46	4.45	13.08	2.82	9.39	3.78	15.77	
Water Taps	15.26	20.00	13.45	13.82	12.12	10.60	11.88	9.85	
Primary Education	.30	.46	.35	.48	.36	.48	.52	.50	
Electricity Polls	.15	.35	.17	.37	.18	.38	.31	.46	

Table 1 (d):		WARD 4							
Variable/Strata	1		2		3		4		
	Mean	S.D.	Mean	S.D.	Mean	S.D.	Mean	S.D.	
Toilet Seats	19.98	19.83	15.79	15.13	16.09	14.40	14.62	13.02	
Roads	22.14	18.41	19.53	19.53	18.08	16.69	15.51	14.27	
Gutters	4.49	5.72	3.83	4.83	3.88	4.58	2.79	4.34	
Social TeMples	3.74	4.89	2.80	3.46	2.59	3.38	1.99	3.18	
Water Taps	15.26	20.00	13.45	13.82	12.12	10.60	11.88	9.85	
Primary Education	.48	.44	.50	.51	.50	.65	.48	.48	
Electricity Polls	.38	.10	.35	.21	.41	.22	.41	.38	

**Indices according to the size of slums:**

Making the use of this information, the overall indices under the four heads i.e. type of type of construction, number of toilet seats, roads, drainages, water taps, pre-primary education, electricity poles have been worked out using the following formula[14].

**Formula:**

$$I = \frac{\sum W_x}{\sum W}$$

$$W = \text{weight} = \frac{1}{\sigma}$$

x= value of the indicator

Using the weighing formula for the various indicators, a summed Ward 1 table is displayed below as follows:

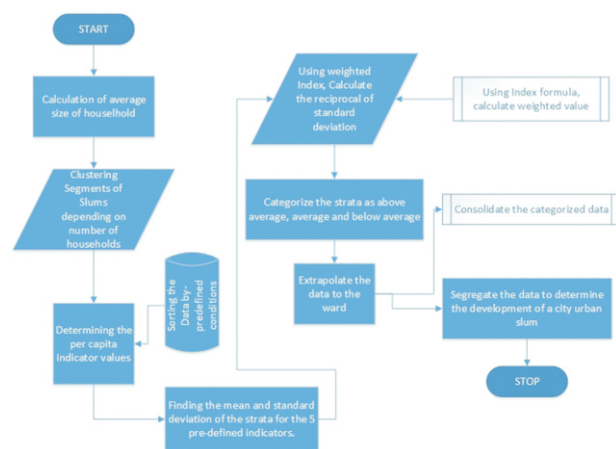


Variable/Strata	States	1	2	3	4
Toilet Seats	WARD 1	10.99	10.54	8.82	7.96
	WARD 2	11.80	11.23	10.98	9.5
	WARD 3	7.67	6.51	6.46	5.37
	WARD 4	12.62	13.89	12.52	13.60
Roads and Social Temples	WARD 1	.14	.15	.15	.24
	WARD 2	.16	.18	.21	.31
	WARD 3	.21	.23	.22	.30
	WARD 4	.20	.24	.27	.37
Gutters	WARD 1	.07	.11	.12	.22
	WARD 2	.07	.10	.15	.30
	WARD 3	.05	.09	.11	.17
	WARD 4	.02	.06	.09	.20
Water Taps	WARD 1	.07	.09	.13	.25
	WARD 2	.12	.21	.26	.47
	WARD 3	.10	.11	.14	.23
	WARD 4	.07	.11	.20	.39
Primary Education	WARD 1	.02	.06	.09	.20
	WARD 2	.16	.18	.21	.31
	WARD 3	.62	.63	.64	.67
	WARD 4	7.67	6.51	6.46	5.37
Electricity Poles	WARD 1	.28	.28	.30	.30
	WARD 2	.68	.69	.70	.74
	WARD 3	.62	.63	.64	.67
	WARD 4	.36	.41	.44	.44

**Indices at Ward Level:**

Following the same methodology the composite index has been calculated for each district. On the basis of average index for each state, the districts within the states have been classified into three categories, viz., above average, average and below average. The wards classified in the average category are those which are within the 10 per cent of the district ward index[15]. The above average categories are those which have values more than 10 per cent of the state index and those in the below average category having indices 10 per cent below the district ward index.

**Algorithm:**



- 1.Initialize the system.
- 2.Use Proc tabulate to calculate the average size of the household and classify them:

```
PROC TABULATE DATA=FINAL FORMAT=PCT.;
CLASS HYPER REGION;
WEIGHT PWGTQ;
TABLES HYPER,
```

```
REGION="Region of Residence"*PCTN<HYPER>="";
;
```

- 3.Determine the per capita income for indicator values.
- 4.Use PROC MEANS to determine mean and standard deviation of the various indicators to calculate the descriptive statistics:

```
PROC MEANS DATA=one;
VAR x y;
```

**RUN;** Following is the output of Proc means when used to determine standard deviation and mean of the strata:

The SAS System					
The MEANS Procedure					
Variable	N	Mean	Std Dev	Minimum	Maximum
x	30	3.5333333	1.6553640	1.0000000	6.0000000
y	30	117.1415137	181.3599755	1.9558646	693.8421207

- 1.OR Apply Proc Univariate for extensive descriptive statistics:

```
PROC UNIVARIATE DATA=one;
VAR x y;
CLASS GroWard 1;
OUTPUT OUT=Desc N=N_x N_y
MEAN=mean_x mean_y
STD=sd_x sd_y;
```

**RUN;** Following is the procedure of the output of PROC UNIVARIATE:

The SAS System			
The UNIVARIATE Procedure			
Variable: y			
<b>Moments</b>			
N	30	Sum Weights	30
Mean	117.141514	Sum Observations	3514.24511
Std Deviation	181.359976	Variance	32891.4407
Skewness	2.06391409	Kurtosis	3.68025503
Uncorrected SS	1365515.81	Corrected SS	953851.781
Coeff Variation	154.821267	Std Error Mean	33.1116499
<b>Basic Statistical Measures</b>			
<b>Location</b>		<b>Variability</b>	
Mean	117.1415	Std Deviation	181.35998
Median	31.0157	Variance	32891
Mode	.	Range	691.88626
		Interquartile Range	151.49364

- 1.Using the weighted Index formula, find out the weighted value of every indicator and compare the same with benchmarked values:

$$I = \frac{\sum W_x}{\sum W}$$

$$W = \text{weight} = \frac{1}{\sigma}$$

- 1.Categorize the slums depending on the value as above average, average and below average.

- 2.Extrapolate the data to the ward.
- 3.Segregate the data to determine the development of urban slum.
- 4.Stop.

**STANDARD ERROR:**

The index is subject to sampling errors because the estimates for the different variables entering into the index have their standard errors. Table 3a presents the distribution of percentage standard error of the variable entering into the development index. The result are presented for each stratum and combined for 4 stratum. At the stratum level (except stratum 1) the standard error of the most of the variables indicators are Ward 1 to 10 percent of the true value in different states. Whereas for some, especially for stratum 1 it is even more than 30 percent. However, at the ward level the standard errors of different indicators are below 30% [16] [17].

The table below shows the magnitude of percentage standard errors of the development index for different states. For the overall index at the state level the standard errors are within 5 percent of true value and for bigger states, it is even much less. However, at stratum level for the overall index it is Ward 1 to 10 percent of the true value.

Thus, the result of the overall index should be interpreted with these standard errors.

Sr. No.	Stratum	1	2	3	4	Combined
1.	Ward 1	8.5	4.4	3.5	4.6	2.6
2.	Ward 2	11.1	6.2	5.2	4.9	3.4
3.	Ward 3	13.0	9.0	6.4	4.9	4.1
4.	Ward 4	16.0	9.2	8.8	9.0	5.5

**POST IMPLEMENTATION IMPACT ANALYSIS OF SDI:**

Social Changes in social policies were made to address not only the existing social inequity and concerns, but also the serious social impacts that accompanied economic liberalization and globalization. Using the SDI, the NGO's were able to determine the development levels and current conditions of the slums with an unarguably increased speed and time efficiency. The Programme developed has become an umbrella package of social sector schemes and programmes that are administered by various Ministries and implemented by State/Union Territory Governments with the basic objectives of poverty eradication and improving the quality of life of the poor and the under privileged population of the country [6]. The broad aspects covered under the Programme include poverty, employment, education, housing, health, drinking water, energy to rural areas and welfare of the weaker sections of the society.

**Interventions for poverty eradication:**

Most of the poverty eradication programs can be classified under one of the following, (i) self-employment (ii) wage employment (iii) food safety and (iv) social security. These programmes have to a very large extent been helpful in fighting poverty in India. The Integrated Rural

Development Programme (IRDP) was started in the seventies to increase the income of small farmers and landless labourers. The beneficiaries were given subsidized credit, training, and infrastructure, so that they could find new sources of earnings and finally lead to community development.

**REFERENCES:**

[1] Gore M.S,1998 "Social Development: The Asian Experience", Presidential Address XIII, Indian Social Science Congress.

[2] Iyengar N.S and Sundarshan P., 1983, "On a method of classifying regions using multivariate analysis of Data", Regional Dimensions of India's economic development, Planning Commission.

[3] Institute for Research In Medical Statistics, ICMR, New Delhi, 1991, Methodological Investigation of Survey of Immunization.

[4] Joshi, B.M., 1987, Inter-State disparities and Economic development, Yojna.

[5] Slums in India: A statistical Compendium 2011.

[5]Grandin, B., 1987, Wealth ranking in smallholder communities: a field manual, IT Publications, London.

[6]Hulme, D., 1990, Can the Gramcen Bank be replicated? Recent experiments in Malaysia, Malawi and Sri Lanka, Development Policy Review, Vol.8, pp.287-300.

[7]Huppi M. & G. Feder, 1990, The role of groups and credit cooperatives in rural lending, World Bank Research Observer, Vol.5, No.2 (July 1990), pp. 187-204.

[8]Hoff, K. & J.E. Stiglitz, 1990, Irrelevant information and rural credit markets - puzzles and policy perspectives, World Bank Urban Economic Review, Vol.4, No.3, pp.235-51.

[9]McGiegog, J.A., 1988, rural poor: the changing policy environment in Bangladesh, Public Administrative Development, Vol.8, pp.467-82.

[10]McGregor, J.A., 1993, Village c: und the reproduction of poverty in contemporary rural Bangladesh, Occasional paper of lite Centre of Development Studies, University of Bath.

[11]Narasimham, M. et.a!., 1991, Narasimham committee report on the financial system, published by A. Nanda for the Standa, J Book Co., New Delhi.

[12]Narayana, R., 1992, Institutional credit for rural development, Proper risk-management or group lending? Economic and Political Weekly, Sep.26, pp.A122-7.

[13]Pulley, R.V., 1989, Making the case study of the Integrated Rural Development Programme in India, World Sank Discussion Paper, No.58.

[14]Singh, A.M. & A. Kelles - Vitanen Editors, 1987, Invisible hands: women in home-based production, Women and the household in Asia, Volume 1, Sage Publication.

[15]Von-Pischke, J.D., 1991, Finance at the frontier: Debt capacity and the role of credit in the Private Economy, Economic Development Institute of the World Bank.

[16]Yaaron, J., 1991, Succcssful Rural Finance Institutions, AGRAP, World Bank, Unpublished Mimco.

[17]Yunus, M., 1988, Grameen Bank: Organisation and Operations, Paper presented to a USAID/IADB, Conference on "Support for Micro-Enterprises".

# Publish Research Article International Level Multidisciplinary Research Journal For All Subjects

Dear Sir/Mam,

We invite unpublished research paper.Summary of Research Project,Theses,Books and Books Review of publication,you will be pleased to know that our journals are

## Associated and Indexed,India

- \* International Scientific Journal Consortium Scientific
- \* OPEN J-GATE

## Associated and Indexed,USA

- EBSCO
- Index Copernicus
- Publication Index
- Academic Journal Database
- Contemporary Research Index
- Academic Paper Databse
- Digital Journals Database
- Current Index to Scholarly Journals
- Elite Scientific Journal Archive
- Directory Of Academic Resources
- Scholar Journal Index
- Recent Science Index
- Scientific Resources Database

Golden Research Thoughts  
258/34 Raviwar Peth Solapur-413005,Maharashtra  
Contact-9595359435  
E-Mail-ayisrj@yahoo.in/ayisrj2011@gmail.com  
Website : www.isrj.net